

Bent metallocenes revisited

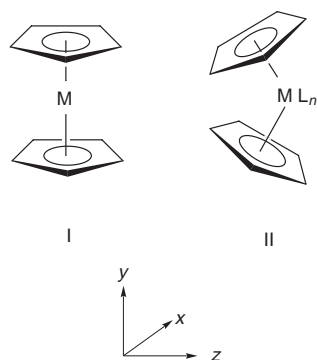
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The orbital structure of bent metallocenes and how their geometry depends on the number of d electrons are described. Bonding by a metallocene unit is exemplified by reference to the known hydrides. The reactivity of metallocene derivatives is illustrated with particular emphasis on the differences between *ansa*-bridged and unbridged compounds; the reactions include ring opening polymerisation of ferrocenophanes, elimination from and addition to Group 6 metallocene derivatives and Ziegler–Natta polymerisation of olefins by Group 4 metallocenes.

1 Introduction

Shortly after the discovery of ferrocene and the establishment of its sandwich structure, with parallel rings, **I**, came the discovery that bis-cyclopentadienyl metal complexes could be synthesised in which the two C₅-rings are inclined at an angle to one another and there are also additional ligands attached to the metal, **II**.¹



The high symmetry of ferrocene and its first row transition metal analogues enabled qualitative molecular orbital methods to be applied successfully to describe its bonding with a high degree of confidence. Ligand field theory was used to treat the

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d electrons and rationalise spectroscopic and magnetic properties. The lower symmetry bent metallocenes were less tractable,² but physical studies^{3–5} and semi-empirical theoretical treatments^{6,7} produced a coherent model describing the orbital structure of these species which has been invaluable in interpreting the wide variety of basic chemical processes in which these bent metallocenes participate.

The study of bent metallocenes has generated a wealth of chemistry which has demonstrated and led to understanding of many fundamental transformations; for example for the tungstenocene system these include photochemical reductive elimination of dihydrogen, insertion of Cp₂W [Cp = (η-C₅H₅)] into saturated sp³ C–H bonds, first evidence for reversible α-H elimination, and development of rules for predicting the regioselectivity of nucleophilic addition to organometallic cations.⁸

In recent years metallocenes have become of considerable commercial importance providing, in combination with methyl alumoxane, a new generation of Ziegler–Natta type polymerisation catalysts for production of polyethylene and polypropylene.^{9–11}

The aim of this article is to review the bonding model for bent metallocenes and to show how their orbital structure relates to some of the unusual reactivity shown by these compounds. The selection of material is eclectic rather than comprehensive.

In Fig. 1 the various structural parameters used in this review are defined for a bent metallocene unit (a) and for an *ansa*-bridged metallocene unit (b).

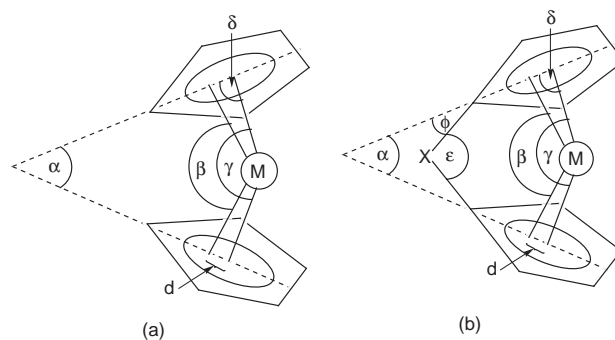
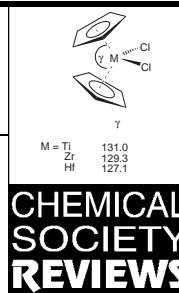


Fig. 1 Geometric parameters in a bent metallocene: α = angle between the ring planes; β = angle between the normals from the metal to the ring planes; γ = ring centroid–metal–ring centroid angle; δ = angle between the ring plane and the metal–ring centroid vector; ϵ = angle between the vectors from a bridging atom X to the *ipso*-carbons; ϕ = angle between the *ipso*-carbon vector and the ring plane; d = the ring slippage, the displacement of the ring centroid from the normal to the ring plane.

There is generally found to be little departure from planarity of the cyclopentadienyl rings. The angle between the rings is normally defined in one of three ways, either as α , the angle between the ring planes, or β , the angle between the metal–ring normals ($\alpha + \beta = 180^\circ$) or γ , the angle between the vectors from the metal to the ring centroids. When the rings are linked by a bridge X, ϵ gives the angle at the bridging atom and ϕ the angle between the ring plane and the vector from the *ipso*-carbon to the bridging atom.



2 MO model for a bent metallocene unit

The orbital structure of ferrocene is well established; a schematic energy level diagram is given in Fig. 2. The top four occupied energy levels are also illustrated in Fig. 3. In D_{5h} symmetry† the top three occupied orbitals are to a first approximation non-bonding and principally metal 3d in character. The d_{z^2} orbital has minimal overlap with the ring $p\pi$ orbitals as its nodal cone intersects the region of maximum electron density of these orbitals. It is the major contributor to the a_1' orbital where it is mixed with the 4s orbital and avoids a ligand contribution. The $d_{x^2-y^2}$ and d_{xy} orbitals have the potential to back-bond into the empty ring orbitals of e_2' symmetry but as these are rather high in energy the back bonding contribution is small. The principal bonding interaction is between the metal d_{xz} and metal d_{yz} orbitals and the ring e_1'' π orbitals. The e_1' combinations of ring π orbitals are less effective at bonding as they can only combine with the metal p orbitals and these are high in energy. Similarly the mixing between the ring a_1' π orbitals and the metal s orbital is much more effective than that

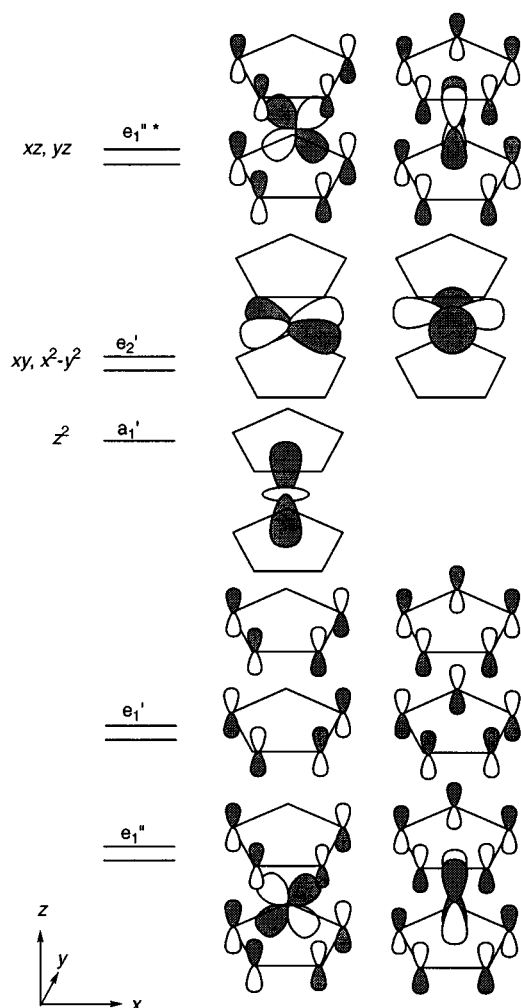


Fig. 2 Schematic energy level diagram for a D_{5h} metallocene; for ferrocene the levels are occupied up to the e_2' orbitals and the antibonding $e_1''^*$ orbital is empty

† Ferrocene is known to have rapidly rotating rings even in the solid state. The energy barrier to ring rotation is estimated to be 5–10 kJ mol⁻¹. At low temperatures the relative orientation of the rings is closer to eclipsed than staggered. It is convenient for the purposes of this article to assume the D_{5h} symmetry consistent with an eclipsed structure. The symmetry labels for the MO of ferrocene will thus differ from those found in most text books where D_{5d} symmetry is assumed, however, the essential details of the bonding are the same.

between the ring a_2'' π combination and the metal p_z orbital. Thus as a consequence of the high symmetry of ferrocene only three of the molecular orbitals have significant metal ligand bonding characteristics.

The changes in the wave functions which occur when the molecule is bent with $\alpha = 35^\circ$ are illustrated in Fig. 3. Fig. 4 gives the variation of one electron energies as a function of angle, α . Lowering the symmetry from D_{5h} to C_{2v} ‡ causes two of the three occupied d orbitals to become the same symmetry, a_1 ; as the molecule bends they mix and move apart in energy, the upper one, $4a_1$, becoming less stable and the lower one, $3a_1$, eventually more stable. The third d orbital becomes b_1 in symmetry and increases in energy though not as much as the $4a_1$ orbital. Both the $4a_1$ and $2b_1$ orbitals are directed towards the open side of the metallocene wedge. These two orbitals have greater amplitude towards the open side of the wedge. As the rings are bent, destructive interference between the metal d-orbitals and the ring $p\pi$ orbitals where the rings approach each other leads to this asymmetry in electron distribution and the outward pointing direction of these orbitals. The $3a_1$ orbital resembles a d_{x^2} orbital pointing along the x axis. All three orbitals have their maximum probability density in the xz plane.

Removal of the symmetry constraint also affects the top occupied cyclopentadienyl orbitals. In the lower symmetry all four symmetry adapted linear combinations can interact with the metal d orbitals. The e_1' orbitals become the $2a_1$ and $1b_1$ orbitals and drop in energy on bending becoming bonding rather than non-bonding. The drop in energy of these two orbitals is more or less mirrored by the rise in energy of the $4a_1$ and $2b_1$ orbitals. Of the e_1'' orbitals, one becomes b_2 in symmetry, loses overlap with the metal orbitals and is raised in energy on bending; the other, the $1a_2$ orbital, remains almost constant in energy.

The increase in the number of bonding interactions on bending suggests that bent structures might well be favourable, however it is well established that all the isolated transition metal metallocenes have a parallel sandwich structure. Bent structures are favoured by the d^0 pre-transition metals Ca, Sr and Ba,¹² though, as the bonding forces involved with these elements are more electrostatic than covalent, calculations show the structural preference to be slight. However, there is a good case for believing that it is the d orbital occupancy that controls the angle between the rings of a metallocene.

Fig. 5 shows how the calculated total energy of both ferrocene and the hypothetical triplet zirconocene vary with α . When all three d orbitals are fully occupied as in ferrocene the total energy of the molecule is raised significantly in energy on bending. However when the $4a_1$ orbital is unoccupied as in zirconocene with the configuration $3a_1^1 2b_1^1$, the overall energy drops slightly. Thus ferrocene shows a strong preference for a parallel ring arrangement whereas the hypothetical moiety $ZrCp_2$ would show little resistance to angle variation.

Further theoretical investigation of the optimised structures of 4d metallocenes shows a definite pattern (Table 1).§ If the $4a_1$ orbital is occupied, parallel or nearly parallel ring structures result, but if it is unoccupied a bent structure with $\alpha > 30^\circ$ is favoured. The small deviation from planarity for triplet $MoCp_2$ and $TcCp_2$ is expected on the basis of the Jahn–Teller theorem,

‡ The highest possible symmetry for a bent metallocene unit is C_{2v} . The axis system we assume throughout this article is shown in Fig. 1. Although there is universal agreement that the C_{2v} axis lies in the z direction, different choices of x and y axes lead to different labels for orbitals in a C_{2v} molecule, so care must always be taken when comparing results from different studies. C_{2v} is conveniently a sub group of D_{5h} so we can correlate the orbitals of a parallel and a bent metallocene. Our choice of axes means that the σ_h of the D_{5h} molecule becomes the xz plane in C_{2v} symmetry.

§ The results presented here are from density functional calculations using the Amsterdam Density Functional code, ADF 2.0.1 Baerends and te Velde Vrije Universiteit Amsterdam 1996.

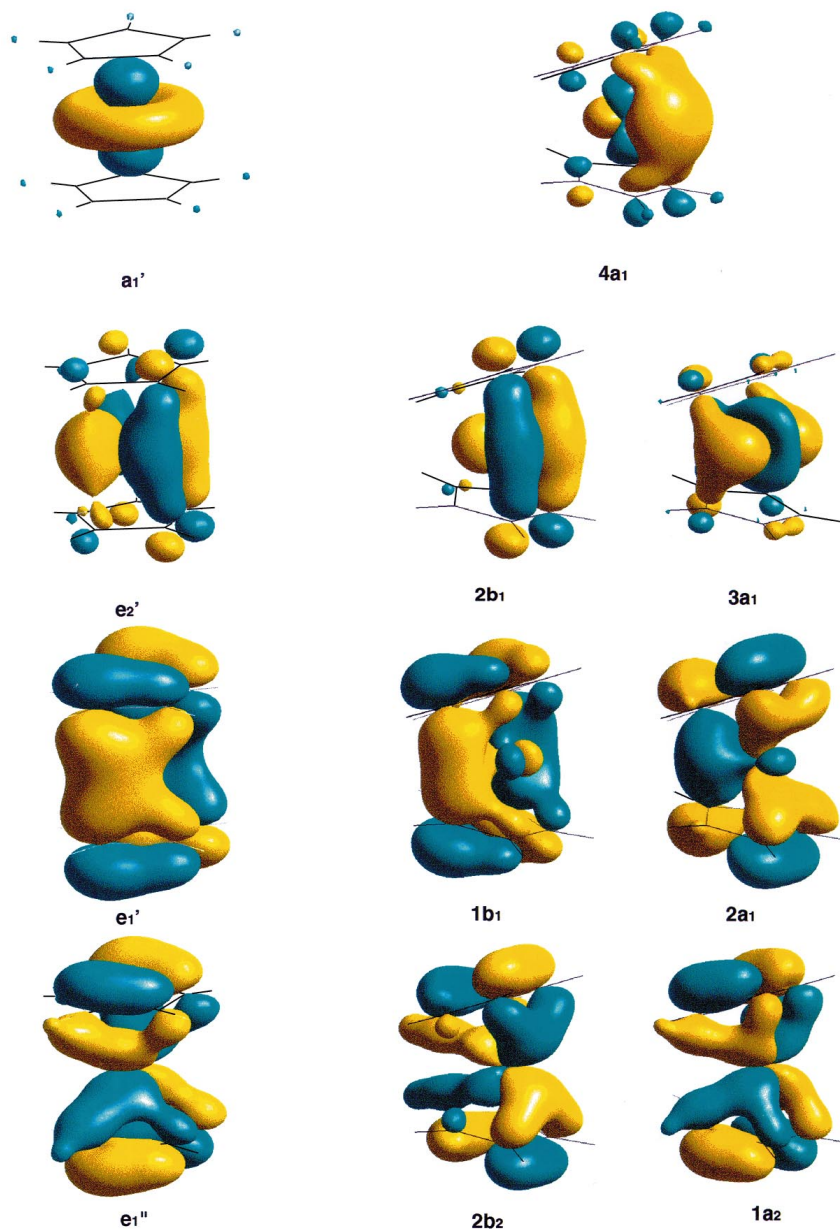


Fig. 3 Isosurfaces for the orbitals of a bent metallocene unit. (These were generated using the Cerius² package of Molecular Simulations Inc.)

indeed matrix isolated molybdenocene has been shown to be bent.¹³ Tungstenocene has a parallel sandwich structure as spin orbit coupling suppresses the Jahn–Teller distortion. TiCp^*_2 [$\text{Cp}^* = (\eta\text{-C}_5\text{Me}_5)$],¹⁴ a d^2 metallocene, has not been structurally characterised but may well be bent.

Table 1 Optimised bending angles and average metal–carbon distances for the second row metallocenes.

Metal	Configuration	Spin state	α°	M–C av/Å	Energy (eV)
Y	$3a_1^1 2b_1^0 4a_1^0$	doublet	40.9	2.62	–124.59
Zr	$3a_1^1 2b_1^1 4a_1^0$	triplet	36.3	2.49	–125.96
Zr	$3a_1^2 2b_1^0 4a_1^0$	singlet	47.8	2.46	–125.74
Nb	$3a_1^1 2b_1^1 4a_1^1$	quartet	0	2.43	–127.63
Nb	$3a_1^2 2b_1^1 4a_1^0$	doublet	40.5	2.37	–126.79
Mo	$3a_1^2 2b_1^1 4a_1^1$	triplet	18.4	2.33	–127.28
Mo	$3a_1^2 2b_1^2 4a_1^0$	singlet	35.3	2.29	–126.48
Tc	$3a_1^2 2b_1^2 4a_1^1$	doublet	11.2	2.26	–128.36
Ru	$3a_1^2 2b_1^2 4a_1^2$	singlet	0	2.21	–126.74

Where two states with differing spins are possible the lower energy state is that of maximum multiplicity, but the average

metal–carbon distance tends to be shorter in the low spin bent structures. The preference for the maximum number of unpaired electrons will be greater in the first transition series where exchange interactions are stronger and ligand field splittings less.

In conclusion, it is the d electron configuration that controls the geometry of the transition metal metallocenes. The commonly found parallel ring structures are a consequence of the d electrons avoiding anti-bonding interactions and minimising electron–electron repulsion. In the absence of these forces there is no inherent weakening of the metal ring bonding on bending.

3 Further bonding by a metallocene unit

The $3a_1$, $2b_1$ and $4a_1$ orbitals of a bent metallocene constitute the three frontier orbitals which can be used to bind further ligands. The simplest example of this is the formation of the hydrides MCp_2H , III (M = Re), MCp_2H_2 , IV (M = Mo, W) and MCp_2H_3 , V (M = Nb, Ta). In all cases the hydride ligands lie in the xz plane of the metallocene unit.

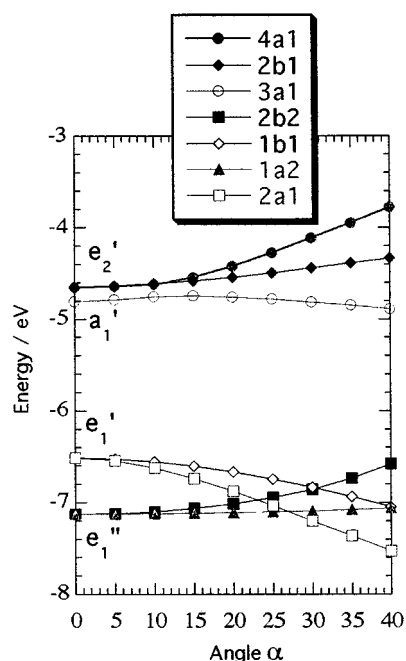
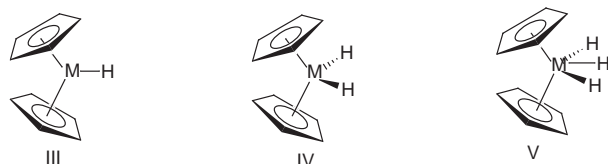


Fig. 4 Variation of one electron energies of ferrocene as a function of bending angle α

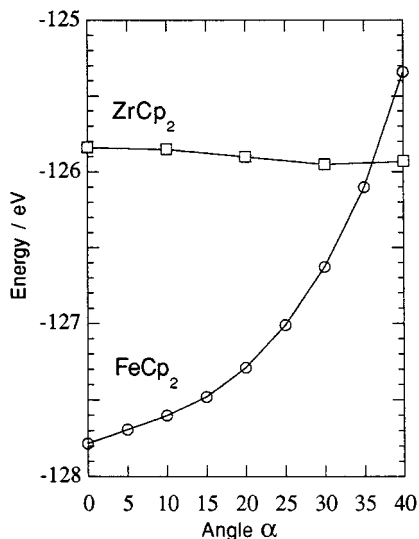


Fig. 5 Variation of total energy of ferrocene and triplet zirconocene as a function of bending angle α

In each case the metallocene unit provides a symmetry match to the symmetry adapted linear combinations (SALCs) of the hydrogens' 1s orbitals (Fig. 6). **III**, with one M–H bond, has a d^4 configuration with two lone pairs of a_1 and b_1 symmetry. **IV** has one lone pair of a_1 symmetry and **V** none. Photoelectron studies of these compounds clearly show the high lying lone pairs which have low ionisation energies (IEs). The M–H bonding electrons have IEs of similar magnitude to the top occupied cyclopentadienyl orbitals.⁴

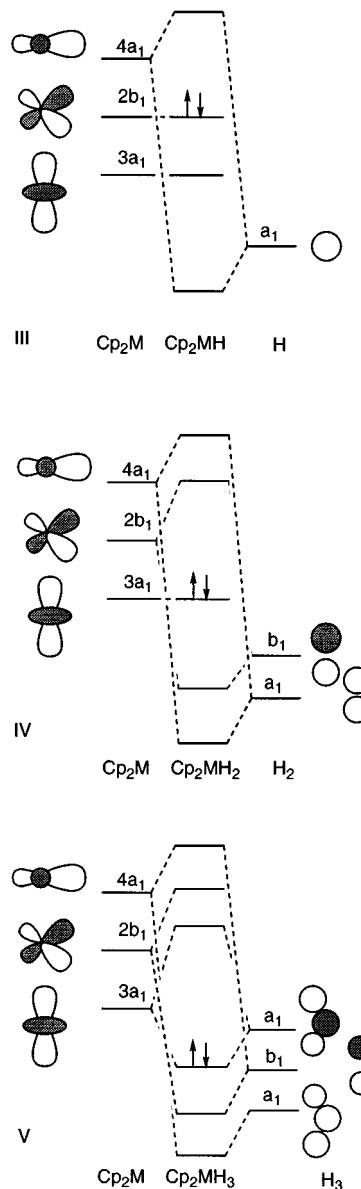


Fig. 6 MO schemes for binding H_{1-3} to MCP_2 to give **III**, **IV** and **V**. The orbitals are drawn as projections on the xz plane. The HOMOs of **III**, **IV** and **V** are denoted by a double arrow.

There is good physical evidence for the spatial location of the a_1 HOMO in a compound with the stoichiometry MCP_2X_2 . In the series where $X = Cl$ and $M = Zr, Nb$ and Mo the compounds have the configuration d^0, d^1 and d^2 respectively. The Cl–M–Cl angle decreases along the series, 97.1° (Zr), 85.6° (Nb) and 82.0° (Mo).³ The presence of electrons in a d_{x^2} like orbital causes the Cl–M–Cl angle to close up. In $MoCp_2H_2$ the H–Mo–H angle is 75.5° .¹⁵ Thus **VI** provides a better picture of the lone pair in a metallocene than does **VII**. An ESR study of a number of d^1 compounds shows the half-occupied a_1 orbital to consist mainly of d_{x^2} with a small admixture of $d_{y^2-z^2}$.⁵

In the trihydride $TaCp_2H_3$ the H–Ta–H angles are 63° and the adjacent H–H distance is 1.85 \AA .¹⁶ Such close approach is unfavourable for larger ligands, and there are no structurally characterised examples of metallocene units bound to three monodentate ligands other than hydrogen.

Both $NbCp_2H_3$ and $[MoCp_2H_3]^+$ show an 1H NMR spectrum in a certain temperature regime consistent with an AB_2 spin system but with unusually large J_{AB} coupling constants; that of the Nb compound is 20.4 Hz above 243 K while that of the Mo compound varies from *ca.* 1000 Hz at 203 K to 450 Hz at 153 K .¹⁷ Such a massive value is due to quantum mechanical

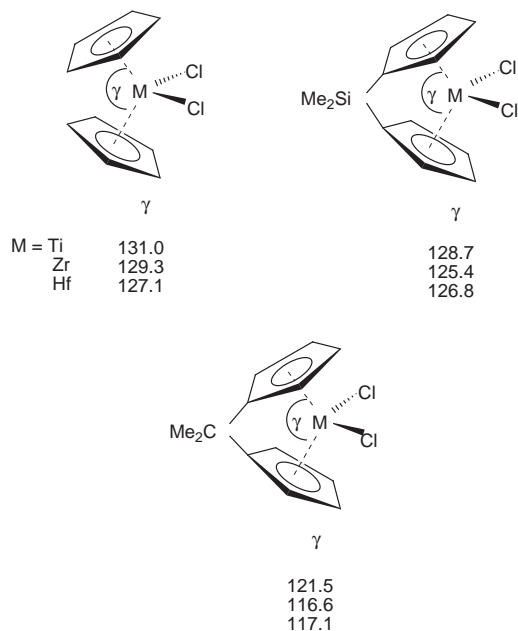


Fig. 8 Cp(centroid)-metal-Cp(centroid) angles, γ° , found for a series of Group 4 metallocene dichlorides

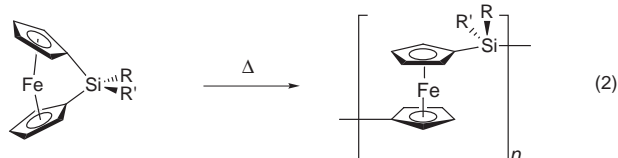
The angles α , β and ϕ (Fig. 1b) are not given for these molecules, but in a series of *ansa*-bridged niobocene metallocenes with CMe_2 as the bridging group and similar γ values, α values of $63\text{--}68^\circ$ are found with ϕ values of $16\text{--}18^\circ$.²⁷ A comparison with *ansa*-bridged ferrocenes, also known as ferrocenophanes, which have a single Si bridge shows that ϵ values are similar but, in contrast, the values for α lie around 20° and ϕ has a value of 37° . Because the d^6 ferrocene unit is much more reluctant to bend there is considerable strain energy in forming the *ansa*-ring and this is evidenced by the greater departure from planarity at the *ipso*-carbons.

5 Comments on selected reactions of metallocenes and their derivatives

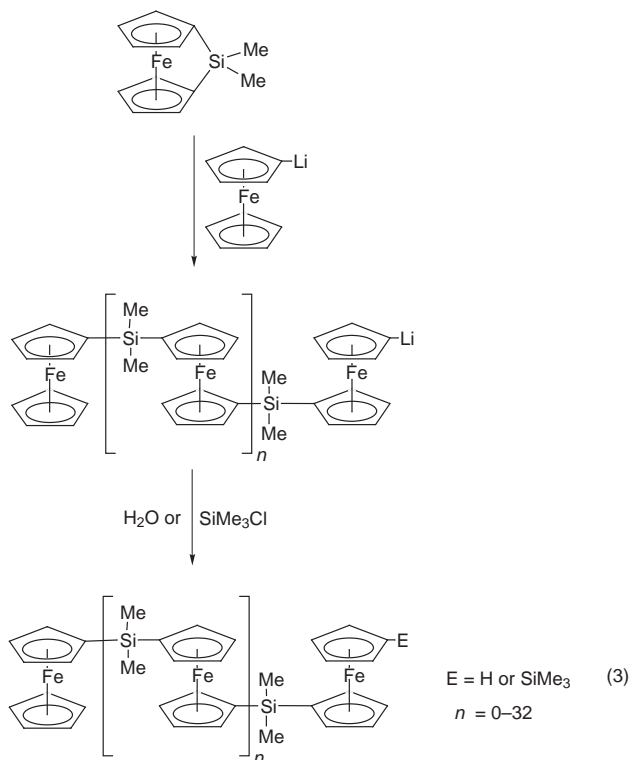
The reactions described below are chosen to illustrate the role of the three co-planar orbitals and the interplay between orbital occupancy and inter-ring angle. In particular they focus on the differences between reactions of *ansa*-bridged metallocenes and the unbridged analogues.

5.1 Ring opening polymerisation of ferrocenophanes

The *ansa*-ring strain present in d^6 metallocenes has been utilised in forming ferrocene polymers.²⁸ For example heating [1]silaferrocenophane induces quantitative, exothermic ring opening polymerisation (ROP) [see (2)]. This ROP reaction may also be



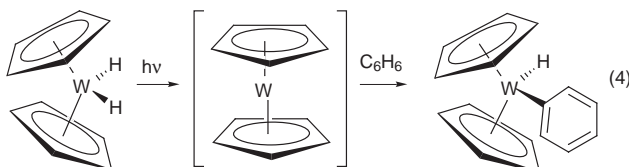
initiated at room temperature by using ionic initiators such as ferrocenyllithium followed by hydrolytic work-up (3). Early transition metal *ansa*-metallocenes are thermally stable and show no tendency to rupture the *ansa*-bridge. These differences in reactivity are consistent with the energy change of bending the various d^n configurations discussed above.



5.2 Elimination from d^2 metallocenes and addition to d^4 metallocenes

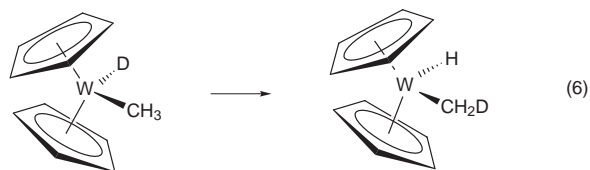
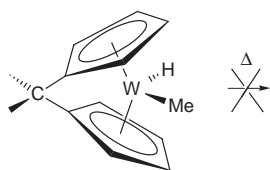
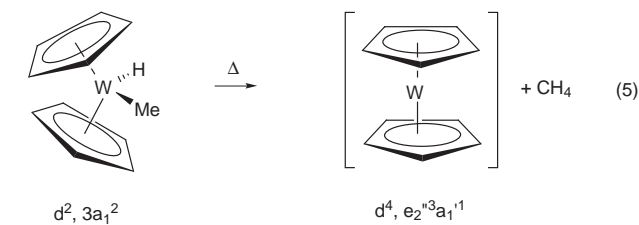
Brintzinger and co-workers^{6,29} showed that whereas $\text{MoCp}_2(\text{CO})$ and $\text{WCp}_2(\text{CO})$ are thermally stable compounds formation of $\text{CrCp}_2(\text{CO})$ is reversible. All three compounds had near identical CO stretching frequencies suggesting similar binding in the three CO complexes. The difference in reactivity was analysed by a combination of semi-empirical MO theory and ligand field theory and attributed to the repulsion between the d electrons that needs to be overcome on forming the CO complex. The metallocene has a triplet ground state with a configuration of $3a_1^2 2b_1^1 4a_1^1$. The CO complex is a singlet with a d^4 configuration $3a_1^2 2b_1^2$. Thus a promotion term is involved in the bond formation. The energy difference between the triplet ground state and the singlet excited state is greater for a first row metallocene, thus there is a bigger energy input in formation of $\text{CrCp}_2(\text{CO})$ and conversely a greater energy gain on decomposition.

Significant reactivity differences are found between *ansa*-bridged and non *ansa*-bridged group 6 metallocene derivatives.³⁰ The dihydride WCp_2H_2 photochemically eliminates dihydrogen forming the triplet tungstenocene intermediate¹³ which can, for example, insert into the C–H bonds of benzene [see (4)]. In contrast, the *ansa*-bridged analogue $\text{W}[(\eta^5\text{-C}_5\text{H}_4)_2\text{CMe}_2]\text{H}_2$ is photochemically inert.

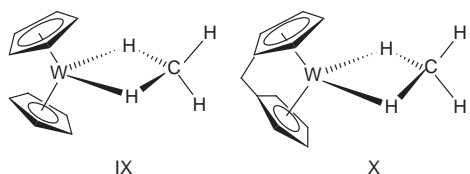


Similarly WCp_2MeH thermally eliminates methane at 60°C [see (5)] whereas $\text{W}[(\eta^5\text{-C}_5\text{H}_4)_2\text{CMe}_2]\text{MeH}$ is thermally stable at 110°C .

The contrast between the two latter compounds is of particular interest as they both show intramolecular hydrogen exchange between the methyl group and the metal hydride. For WCp_2MeH this hydrogen exchange is only marginally faster



than the elimination reaction.³¹ The exchange reactions pass through a mid-point in which a CH_4 moiety is bound to the



metal through two of its hydrogens, **IX** and **X**.³² At this mid-point, the d configurations are $3a_1^2 2b_1^2$. The transition states for exchange are estimated to be of very similar energy. Elimination of methane from this mid-point is, however, calculated to be very different for the unbridged and *ansa*-bridged systems. The energy profiles for elimination are plotted in Fig. 9 as a function of W–C distance for a C_{2v} system. The energy is referenced with respect to the methyl hydrides at zero. The mid-points for exchange, **IX** and **X**, are at the minima in the singlet energy curves. In both cases the tungstenocene product is expected to be in a triplet state. The unbridged compound has a close cross-over point to the triplet state and subsequent energy gain on forming triplet tungstenocene with parallel rings. In the *ansa*-bridged compound where the bridge prevents the rings achieving a parallel conformation, the singlet–triplet cross-over is at a greater metal–methane distance and is of higher energy. Also the eventual triplet product is of higher energy than the transition state for the exchange.

The driving force for reductive elimination in these Group 6 metallocene derivatives is seen to be the formation of the favoured parallel ring structure for the d^4 configuration metallocene product. When the rings are constrained by the

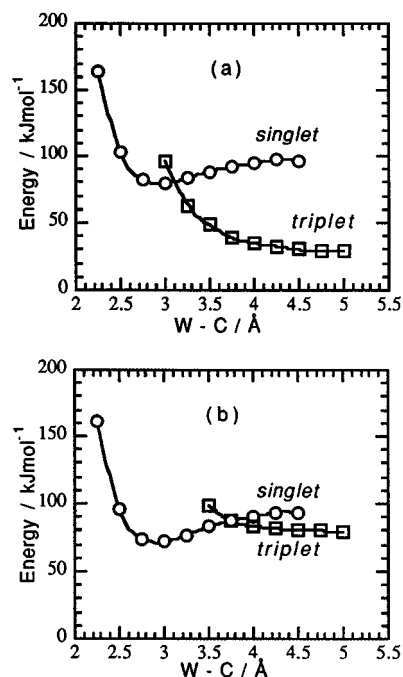


Fig. 9 Energies of triplet and singlet C_{2v} methane complexes (a) $[W(\eta-C_5H_5)_2(CH_4)]$ and (b) $[W((\eta-C_5H_4)_2CH_2)(CH_4)]$ at varying W–C distance with respect to the energy of the corresponding methyl hydride

ansa-bridge the four d electrons to avoid being spin paired have partially to occupy the high energy $4a_1$ orbital.

In the reactions of d^0 titanium metallocene derivatives Brintzinger has found that titanocene derivatives with an interannular ethylene bridge, while resembling the unbridged analogue in many transformations involving Ti(IV) or Ti(III) oxidation states, do not undergo reactions which are thought to involve a free titanocene Ti(II) intermediate.³³ Theoretical estimates of the energy difference between triplet titanocene with an α value of 50° , which is typical of that found in a Ti(IV) metallocene derivative, and the optimised α value of 26° is 0.63 eV or 60.78 kJ mol⁻¹. Such an energy gain, available to the unbridged titanocene, is not accessible if the inter-ring angle is constrained by an *ansa*-bridge; it may well account for the reactivity difference. For zirconocene the estimate is less, 0.21 eV or 20.26 kJ mol⁻¹. This, coupled with the greater difficulty in reducing Zr(IV) to Zr(II), means less striking differences are expected in the reactions of *ansa*-bridged and non-bridged zirconocene derivatives. The principal differences found appear to be associated with the increased electrophilicity of the metal associated with the presence of the *ansa*-bridge.²⁶

5.3 Zeigler–Natta polymerisation catalysed by metallocene derivatives

Zirconocene dichloride in the presence of excess methylalumoxane (MAO) was found to catalyse the polymerisation of ethene to high density polypropylene.⁹ Variation of the cyclopentadienyl groups has led to effective processes for both isotactic and syndiotactic polymerisation of propylene.^{10,11,34} There is abundant evidence that the active species in these metallocene polymerisation catalysts are monomeric, cationic zirconocene alkyls, e.g. $[ZrCp_2R]^+$. The role of the MAO is to generate and stabilise the d^0 14 electron cationic species.

The mechanism for Zeigler–Natta alkene polymerisation proposed by Cossée and Arlman³⁵ (8) involves a four centre transition state (8c) in which the new C–C bond is forming. If a methyl group and a coordinated ethene are brought close to one another steric repulsion between the hydrogens occurs before the carbon atoms are close enough to form an incipient bond. Brookhart and Green proposed a modification of the Cossée–Arlman mechanism in which the alkyl group tilted and formed

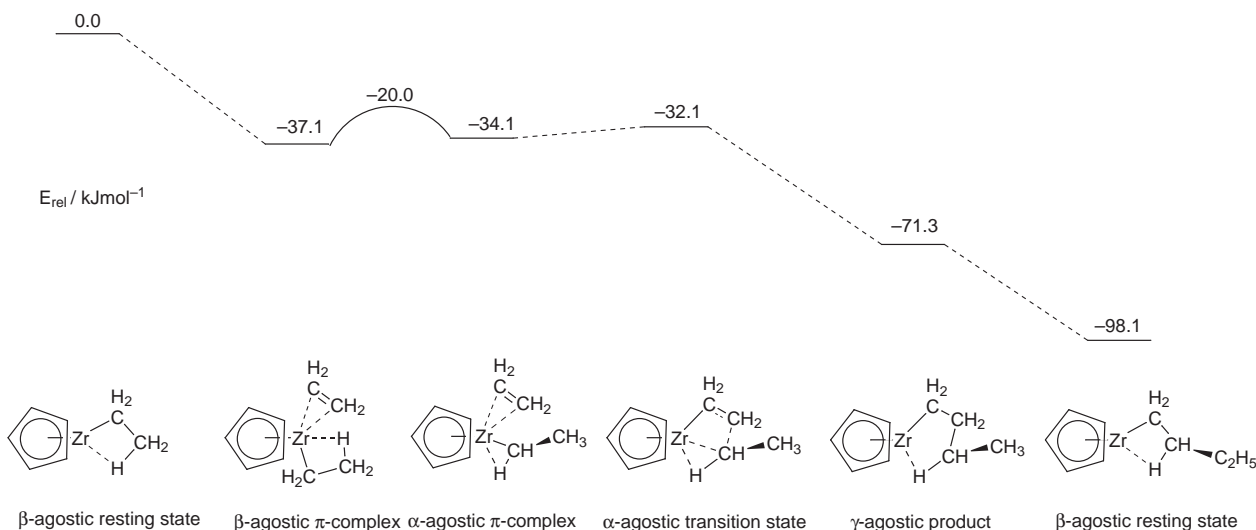
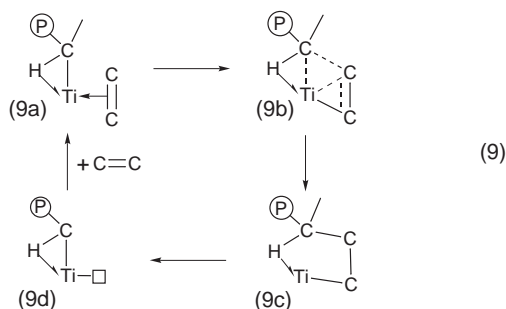
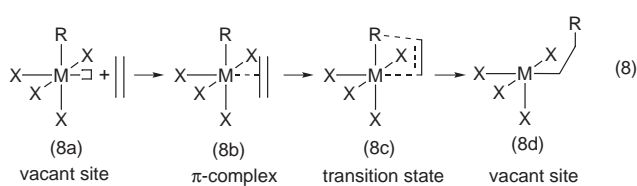


Fig. 10 Key steps on the energy profile for insertion of ethene into the Zr-C bond of $[\text{ZrCp}_2\text{Et}]^+$ as calculated by Lohrenz *et al.*³⁸



an α -agostic hydrogen bond to the metal (9a).³⁶ Tilting of the methyl group relieves the steric hindrance between the alkyl and olefinic substituents in the transition state (9b). Formation of the agostic bond also provides an explanation for control of the stereochemistry of the polymerisation of propene since the substituents could lie either *cis*- or *trans*- with respect to the planar transition state.

Extensive experimental and theoretical investigations³⁷ have lent support to this picture of the reaction pathway. The results from one such theoretical study on the insertion of ethene into the metal-carbon bond of $[\text{ZrCp}_2\text{C}_2\text{H}_5]^+$ are summarised in Fig. 10.³⁸ The resting state of the process is calculated to be a β -agostic alkyl. The olefin binds to this exothermically. The alkyl chain then rotates to form an α -agostic π -complex which undergoes the insertion process resulting in a γ -agostic product which then returns to a β -agostic resting state.

Throughout the insertion reaction the key atoms, the alkyl α -carbon and α -agostic hydrogen, the two olefinic carbons and the metal all lie in the same plane. To enable such a reaction pathway, the metal must provide three co-planar orbitals, one to bind the olefin, one to form the metal-alkyl bond and one to form the agostic bond. Also the orbitals need to be sufficiently proximate for the C-C bond to form readily. The three frontier orbitals of a bent metallocene fulfill this role admirably.

The necessity for three available orbitals is underlined by the stability of the d^2 cation $[\text{WCp}_2(\eta^2\text{-C}_2\text{H}_4)\text{Me}]^+$. This cation fails to insert ethene into the W-C bond. Though the two d electrons will be involved in back-donation to the ethene resulting in stronger olefin binding than in a d^0 analogue, it is likely that the occupancy of the third orbital prevents the agostic bond formation needed to lower the activation energy barrier for the insertion step.

The history of metallocene chemistry is a classic example of the symbiosis of experiment and theory in its broadest sense. Synthetic ingenuity, detailed studies of mechanism and careful application of physical techniques have all played their part. In the future the relative availability and improved accuracy of modern computational methods should mean that they will also be useful tools to the synthetic chemist in planning experiments.

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